

To 致: Silverbricks Securities Company Limited 元庫証券有限公司
40/F, Monterey Plaza, 15-17 Chong Yip Street, Kwun Tong, Kowloon, Hong Kong
香港九龍觀塘創業街 15-17 號萬泰利廣場 40 樓

Ref. No 參考編號: _____

Self-Certification Form – Individual

自我證明表格 - 個人

Important Notes 重要提示:

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction. 這是由帳戶持有人向申報財務機構提供的自我證明表格，以作自動交換財務帳戶資料用途。申報財務機構可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。
- An account holder should report all changes in his/her tax residency status to the reporting financial institution. 如帳戶持有人的稅務居民身份有所改變，應盡快將所有變更通知財務機構
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the Inland Revenue Department. 除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。在欄/部份標有星號 (*) 的項目為申報財務機構 須向稅務局申報的資料。

Part 1 Individual Account Holder Identification

(For joint or multiple account holders, complete a separate form for each individual account holder.)

第一部份 帳戶持有人身分 (對於聯名帳戶或多人聯名帳戶, 每名個人帳戶持有人須分別填寫一份表格)

- 1 Name of Account Holder 帳戶持有人姓名**
Title (e.g. Mr, Mrs, Ms, Miss) 稱為 _____
Last Name or Surname * 姓 _____
First or Given Name * 名 _____
Middle Name(s) 中間名 _____
- 2 Hong Kong Identity Card or Passport Number**
香港身份證或護照號碼 _____
- 3 Current Residence Address 現時居住地址**
Suite, Floor, Building, Street, District *
(室, 層, 大廈, 街, 區) _____
City *
城市 _____
Province, State *
省, 州 _____
Country *
國家 _____
Post Code/ZIP Code*
郵政編碼 _____
- 4 Mailing Address (Complete if different to the current residence address)**
郵遞地址(如與現時居住地址不同)
Suite, Floor, Building, Street, District *
(室, 層, 大廈, 街, 區) _____
City *
城市 _____
Province, State *
省, 州 _____
Country *
國家 _____
Post Code/ZIP Code * 郵政編碼 _____
- 5 Date of Birth * (dd/mm/yyyy)**
出生日期 (日日/月月/年年年年) _____
- 6 Place of Birth (Not compulsory)**
出生地點 (非必要)
Town/City *
鎮/市 _____
Province, State *
省, 州 _____
Country *
國家 _____

Part 2 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”) *
第二部份 居留司法管轄區及稅務編號或具有同等功能的識別編號(下稱“稅務編號”)

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a **resident for tax purposes** and (b) the account holder’s TIN for each jurisdiction indicated. Indicate **all** (not restricted to five) jurisdictions of residence.

提供以下資料，列明（a）帳戶持有人的居留司法管轄區，亦即帳戶持有人的稅務管轄區（香港包括在內）及（b）該居留司法管轄區發給帳戶持有人的稅務編號。列出所有（不限於5個）居留司法管轄區。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.
 如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C:

Reason A 理由A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents. 帳戶持有人的居留司法稅務管轄區並沒有向其居民發出稅務編號

Reason B 理由B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason. 帳戶持有人不能取得稅務編號。如選取這一理由，解釋帳戶持有人不能取得稅務編號的原因

Reason C 理由C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed. 帳戶持有人毋須提供稅務編號。這理由只適用於居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號*	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號，請填寫理由 A, B 或 C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選取理由 B，解釋帳戶持有人不能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

Part 3 Declarations and Signature

第三部份 聲明及簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意，財務機構可根據《稅務條例》(第112章)有關交換財務帳戶資料的法律條文，(a)收集本表格所載資料並可備存作自動交換財務帳戶資料用途及(b)把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates.

本人證明就與本表格所有相關的帳戶，本人是帳戶持有人/本人獲帳戶持有人授權簽署本表格。

I undertake to advise **Silverbricks Securities Company Limited** of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide **Silverbricks Securities Company Limited and associated company(ies)** with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾**元庫證券有限公司**，如情況有所改變，以致影響本表格第1部份所述的個人的稅務居民身份，或引致本表格所載的資料不正確，本人會通知**元庫證券有限公司**的相關機構，並會在情況發生改變後的30日內，向所述的相關機構提交一份已適當更新的自我證明表格。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實，正確和完備。

Signature

簽署

Name

姓名

Capacity

身分

Date (dd/mm/yyyy)

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)

(如您不是帳戶持有人，請註明身份如果您是以授權人身份簽署這份表格，須夾附該授權書的核證副本。)

Delete as appropriate 刪去不適用者

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告：根據《稅務條例》第 80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即港幣\$10,000）罰款。